

1 Original Article

2 After continents divide: comparative phylogeography of reef fishes from the Red  
3 Sea and Indian Ocean

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20 Running header: Phylogeography of Red Sea reef fishes

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## 32 **ABSTRACT**

33 **Aim** The Red Sea is a biodiversity hotspot characterized by unique marine fauna  
34 and high endemism. This sea began forming approximately 24 million years ago  
35 with the separation of the African and Arabian plates, and has been characterized  
36 by periods of desiccation, hypersalinity and intermittent connection to the Indian

37 Ocean. We aim to evaluate the impact of these events on the genetic architecture  
38 of the Red Sea reef fish fauna.

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40 **Location** Red Sea and Western Indian Ocean

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42 **Methods** We surveyed seven reef fish species from the Red Sea and adjacent  
43 Indian Ocean using mitochondrial DNA cytochrome-c oxidase subunit I and  
44 cytochrome *b* sequences. To assess genetic variation and evolutionary  
45 connectivity within and between these regions, we estimated haplotype diversity  
46 and nucleotide diversity, reconstructed phylogenetic relationships among  
47 haplotypes and estimated gene flow and time of population separation using  
48 Bayesian coalescent-based methodology.

49

50 **Results** Our analyses revealed a range of scenarios from shallow population  
51 structure to diagnostic differences that indicate evolutionary partitions and  
52 possible cryptic species. Conventional molecular clocks and coalescence analyses  
53 indicated time frames for divergence between these bodies of water ranging from  
54 830,000 years to contemporary exchange or range expansion. Colonization routes  
55 were bidirectional, with some species moving from the Indian Ocean to the Red  
56 Sea compared with expansion out of the Red Sea for other species.

57

58 **Main conclusions** We conclude that: (1) at least some Red Sea reef fauna  
59 survived multiple salinity crises; (2) endemism is higher in the Red Sea than  
60 previously reported; and (3) the Red Sea is an evolutionary incubator,  
61 occasionally contributing species to the adjacent Indian Ocean. The latter two  
62 conclusions – elevated endemism and species export – indicate a need for  
63 enhanced conservation priorities for the Red Sea.

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#### 65 **Keywords**

66 **Coalescent, cryptic speciation, dispersal, genealogical concordance, gene**  
67 **flow, mitochondrial DNA, vicariance.**

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## 72 **INTRODUCTION**

73 The Red Sea is a deep (maximum depth: 2920 m) and narrow (maximum width:  
74 350 km) body of water extending 2270 km from 30° N in the Gulf of Suez to 13°  
75 N in the Gulf of Aden. Although the sea began forming approximately 24 million  
76 years ago (Ma) (i.e. mid-Oligocene period) by the separation of the African and  
77 Arabian plates, the ocean environment that supports coral reefs originated in the

78 early Pleistocene (*c.* 5 Ma; Siddall *et al.*, 2003; Boswell *et al.*, 2005). The Red  
79 Sea, which now experiences minimal freshwater inflow and high rates of  
80 evaporation, is characterized by pronounced north to south gradients in salinity  
81 (42 ppt to 37 ppt), sea surface temperature (winter, 20 °C to 28 °C; summer, 26  
82 °C to 32 °C), and nutrient concentration (low to high) (Raitsos *et al.*, 2011; Ngugi  
83 *et al.*, 2012). Oceanographic current patterns and climate in the southern Red Sea  
84 (and Gulf of Aden) are heavily influenced by the northern Indian Ocean monsoon  
85 system (Smeed, 1997, 2004; Biton *et al.*, 2010), which reverses wind circulation  
86 patterns in the boreal summer (Southwest Monsoon, April to October) compared  
87 to those in the winter (Northeast Monsoon, December to March; see Fig. 1).

88         Despite its peripheral location relative to the Indo-Pacific, the Red Sea is  
89 characterized by high biodiversity, including approximately 300 reef-building  
90 corals (mostly species of *Acropora* and *Porites*; Sheppard & Sheppard, 1991;  
91 Riegl & Velimirov, 1994) and 1078 fish species (Golani & Bogorodsky, 2010),  
92 which represent key resources for coastal communities. Species richness appears  
93 to be highest in the central Red Sea, with marked decreases in species abundance  
94 and changes in species composition away from this area (DeVantier *et al.*, 2000).  
95 The Red Sea also harbours one of the highest degrees of endemism for marine  
96 organisms, making up 14% of fishes (Randall, 1994), 33% of crustaceans, 15% of  
97 echinoderms, and up to 25% of corals (Cox & Moore, 2000). Endemism can be

98 even higher for some taxa, reaching 50% in the butterflyfishes (Roberts *et al.*,  
99 1992).

100         Although the evolutionary processes driving high rates of endemism are  
101 unclear, the narrow (18 km) and shallow (137 m) Strait of Bab al Mandab, the  
102 only connection with the Indian Ocean, is likely to have played a key role  
103 (Klausewitz, 1989). The Red Sea was repeatedly isolated during Pleistocene  
104 glacial cycles when the sea level lowered as much as 120 m; whether this was  
105 achieved through physical isolation or the restriction of oceanic flow associated  
106 with elevated salinities and temperatures remains contentious (Siddall *et al.*, 2003;  
107 Bailey, 2009). Moreover, cold-water upwelling off Somalia, which precludes reef  
108 formation on the northeast African and southern Arabian coasts, is likely to  
109 reinforce this isolation (Smeed, 1997; Kemp, 1998, 2000). Some authors believe  
110 that Bab al Mandab no longer acts as a physical barrier to dispersal but that an  
111 ecological barrier lies within the Red Sea (Ormond & Edwards, 1987). Roberts *et*  
112 *al.* (1992) suggested that a turbid-water region south of 20° N in the Red Sea may  
113 limit larval dispersal, a hypothesis which is supported by the presence of a  
114 number of species in the northern/central Red Sea and the Gulf of Aden (just  
115 outside the Red Sea) that are absent from the southern Red Sea.

116         Despite being acknowledged as a biodiversity hotspot for coral reef fishes  
117 based on research dating back more than 200 years (e.g. Forsskål 1775), little  
118 work has been conducted in the Red Sea using modern genetic techniques.

119 Studies in this region tend to focus on the biogeography and community structure  
120 of the more iconic (and endemic) shore fish fauna (e.g. family Chaetodontidae;  
121 Righton *et al.*, 1996). The majority of genetic studies on reef fishes have been  
122 restricted to the Gulf of Aqaba and northern Red Sea (Hassan *et al.*, 2003;  
123 Kochzius & Blohm, 2005; but see Froukh & Kochzius, 2007), and few of these  
124 considered the connections between widespread taxa from other biogeographical  
125 provinces, particularly the Indian Ocean (Froukh & Kochzius, 2008).

126         Peripheral reef habitats such as the Red Sea, which forms the north-  
127 western most extension of the Indian Ocean, are typically considered to be  
128 biodiversity sinks that receive species from elsewhere but rarely export them  
129 (Briggs, 1999). The accepted paradigm is therefore that biogeographical sinks are  
130 ‘evolutionary graveyards’ that do not contribute to biodiversity at neighbouring  
131 sites. Recent research on reef fish and invertebrates, however, demonstrate that  
132 peripheral regions, such as the Hawaiian Archipelago and the Marquesas Islands,  
133 may act as both a sink and a source, contributing unique genetic lineages to other  
134 regions of the Indo-Pacific (Gaither *et al.*, 2010, 2011; DiBattista *et al.*, 2011;  
135 Eble *et al.*, 2011; Skillings *et al.*, 2011).

136         Our first aim is to assess connections between fauna in the Red Sea and  
137 the adjacent Western Indian Ocean (WIO) using a molecular genetic approach.  
138 The WIO forms a biogeographical subdivision of the tropical Indo-Pacific  
139 stretching from East Africa to the Chagos Ridge in the centre of the Indian Ocean

140 (Sheppard, 2000; Briggs & Bowen, 2012). Phylogeographical inferences are  
141 strengthened by congruence among multiple species and genes, and so our study  
142 considers seven species of reef fish with widespread distributions using two  
143 mitochondrial DNA (mtDNA) markers.

144         Our second aim is to assess whether sea level changes have influenced  
145 extant biodiversity by estimating migration rates and divergence times of reef  
146 fishes in the Red Sea and WIO. Such analyses will allow us to discriminate  
147 between the following scenarios: (1) Red Sea populations represent long isolated  
148 relicts derived from the WIO, which implies gene flow was absent over the last  
149 five million years; (2) Red Sea populations have been isolated from the WIO over  
150 evolutionary intervals but with recurrent gene flow; or (3) Red Sea populations  
151 are the result of recent colonization from the WIO, since the Last Glacial  
152 Maximum approximately 20,000 years ago (Siddall *et al.*, 2003; Bailey, 2009).  
153 This dataset provides an unprecedented opportunity to assess the relationships  
154 between two Indian Ocean biogeographical provinces, and thereby illuminate  
155 evolutionary processes that are the wellspring of Red Sea biodiversity.

156

## 157 **MATERIALS AND METHODS**

### 158 **Sample collection**



159 Reef fish were collected while SCUBA diving or snorkelling at depths of 1–40 m  
 160 between 2002 and 2011 (Fig. 1, Table 1). Seven reef fish species were targeted:  
 161 the brown surgeonfish, *Acanthurus nigrofuscus* (Forsskål, 1775); the peacock  
 162 hind, *Cephalopholis argus* (Schneider, 1801); the threadfin butterflyfish,  
 163 *Chaetodon auriga* (Forsskål, 1775); the checkerboard wrasse, *Halichoeres*  
 164 *hortulanus* (Lacepède, 1801); the bluestripe snapper, *Lutjanus kasmira* (Forsskål,  
 165 1775); the Sammara squirrelfish, *Neoniphon sammara* (Forsskål, 1775); and the  
 166 regal angelfish, *Pygoplites diacanthus* (Boddaert, 1772). These species were  
 167 chosen because they have wide Indo-Pacific distributions, are abundant, represent  
 168 a diversity of taxonomic families, and can be unequivocally identified in the field.  
 169 Each species was sampled at two locations (Thuwal and Al Lith) off the coast of  
 170 the Kingdom of Saudi Arabia (KSA) in the central Red Sea, and at one to three  
 171 sites in the WIO (oceanic sites: Diego Garcia in the Chagos Archipelago and the  
 172 Republic of Seychelles; coastal sites: Sodwana Bay, South Africa and Al  
 173 Hallaniyat, Sultanate of Oman). Because some of the collections were  
 174 opportunistic, not every species could be sampled at every location (Fig. 1, Table  
 175 1).

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177

## 178 Mitochondrial DNA sequencing

179 Tissue samples were preserved in salt-saturated DMSO (Seutin *et al.*, 1991). Total  
180 genomic DNA was extracted using the ‘HotSHOT’ protocol (Meeker *et al.*, 2007)  
181 and subsequently stored at  $-20^{\circ}\text{C}$ . Fragments of mtDNA from the cytochrome-c  
182 oxidase subunit-I (COI) and cytochrome *b* (*cytb*) genes were amplified using  
183 either previously published primers or modified primers designed for individual  
184 species (Table 1). These two markers were chosen because they: (1) are easy to  
185 amplify in most fish; (2) are generally variable at the population level; (3)  
186 facilitate comparisons with published sequences; and (4) have had molecular  
187 clock rates estimated based on reef fishes (Bowen *et al.*, 2001; Lessios, 2008;  
188 Reece *et al.*, 2010). Polymerase chain reaction (PCR) was carried out for all  
189 species as described in DiBattista *et al.* (2012a), with optimal annealing  
190 temperatures listed in Table 1. All samples were sequenced in the forward  
191 direction (and reverse direction for unique or questionable haplotypes) with  
192 fluorescently labelled dye terminators (BigDye version 3.1, Applied Biosystems ,  
193 Foster City, CA, USA) and analysed using an ABI 3130xl Genetic Analyzer  
194 (Applied Biosystems). The sequences were aligned, edited, and trimmed to a  
195 uniform length using GENEIOUS PRO 4.8.4 (Drummond *et al.*, 2009); unique  
196 mtDNA haplotypes were deposited in GenBank (accession numbers: KC187734-  
197 KC188056.

198

## 199 **Genetic diversity and population structure**

200 ARLEQUIN 3.5 (Excoffier *et al.*, 2005) was used to calculate haplotype ( $h$ ) and  
201 nucleotide diversity ( $\pi$ ), and to test for population structure among sampling sites  
202 for each species and molecular marker (i.e. 14 total datasets). These analyses were  
203 repeated with all Red Sea or WIO samples pooled into two separate regions.  
204 Despite the difference in the geographical scale of sampling (Red Sea sites,  
205 *c.* 300 km; WIO sites,  $\sim 1000$ s of km), preliminary work suggests that Red Sea  
206 haplotypes at Thuwal and Al Lith are consistent with those sampled up to 1200  
207 km north (J.D.D., unpub. data), indicating unbiased estimates of genetic diversity  
208 within our study range. Because JMODELTEST 0.1.1 (Posada, 2008) converged on  
209 different models of nucleotide sequence evolution among datasets, we calculated  
210 global and pairwise  $\Phi_{ST}$  values based on a HKY model of mutation (Hasegawa *et*  
211 *al.*, 1985). We also ran conventional frequency based  $F_{ST}$ , but the absolute values  
212 changed little and relative values did not change at all; we have therefore elected  
213 to report pairwise  $\Phi_{ST}$ . Global  $\Phi_{ST}$  was estimated using analysis of molecular  
214 variance (AMOVA; Excoffier *et al.*, 1992); deviations from null distributions  
215 were tested with non-parametric permutation procedures ( $n = 99,999$ ). We  
216 controlled for false discovery rate with the method of Narum (2006), and negative  
217 pairwise  $\Phi_{ST}$  values were converted to zeros. To facilitate comparisons among  
218 species, an additional diversity measure – Jost’s  $D$  (Jost, 2008) – was estimated  
219 using SPADE 1.0 (Chao *et al.*, 2008).

220           The evolutionary relationship among COI or cyt *b* haplotypes was  
 221 resolved for each species with unrooted networks constructed with the program  
 222 NETWORK 4.5.1.0 ([www.fluxus-engineering.com/network\\_terms.htm](http://www.fluxus-engineering.com/network_terms.htm)) using a  
 223 median-joining algorithm and default settings (as per Bandelt *et al.*, 1999).

224

## 225   **IMA2 analysis**

226   We calculated the effects of time and gene flow on genetic divergence between  
 227 populations using Bayesian coalescent-based estimation with IMA2 8.26.11 (Hey  
 228 & Nielsen, 2007; Hey, 2010). Using *F*-statistics we determined that samples  
 229 within regions were not significantly different for all seven species after  
 230 correction for multiple comparisons. We therefore pooled the Red Sea sites  
 231 together and the WIO sites together, for comparisons between regions for each  
 232 species and molecular marker.

233           The isolation-with-migration model implemented in IMA2 assumes that  
 234 two populations of effective size  $N_1$  and  $N_2$  diverged from an ancestral population  
 235 (of effective size  $N_a$ ) at time  $t$ , and then exchanged migrants at rates  $m_1$  and  $m_2$ .  
 236 We therefore estimated the time since initial separation or last major colonization  
 237 event ( $t$ ), effective population size ( $N_e$ ), and the proportion of migrants arriving  
 238 into a population per generation ( $m$ ); all demographic parameters were scaled by  
 239 mutation rate.

240 Mutation rates calibrated in other reef fish based on the closure of the  
 241 Isthmus of Panama range from 1% to 2 % per million years for COI and cyt *b*  
 242 (Bowen *et al.*, 2001; Lessios, 2008; Reece *et al.*, 2010). We used a conservative  
 243 estimate of  $1.3 \times 10^{-8}$  mutations per site per year for both markers (see  
 244 Lessios, 2008) under a HKY model and a 0.25 inheritance scalar appropriate for  
 245 mtDNA. An MCMC chain with a length of 1,000,000 sampled every 100  
 246 generations with 10% burn-in was used to estimate parameters for each species–  
 247 gene combination. Five independent runs were computed to ensure convergence.  
 248 The independent runs were subsampled and combined using the L mode of IMA2,  
 249 and the median values of the parameter distributions for the combined runs are  
 250 presented here. For *N. sammara* (COI) and *P. diacanthus* (COI and cyt *b*), which  
 251 did not share any haplotypes between regions, prior values of *m* in both directions  
 252 were set to zero. Although we regard all absolute parameter estimates with  
 253 caution given that our data consist of two linked loci, and we apply mutation rates  
 254 calibrated in other reef fishes, relative comparisons among species are likely to be  
 255 robust to such approximations (Karl *et al.*, 2012).

256

## 257 **RESULTS**

### 258 **Genetic diversity and population structure**

COI and *cyt b* sequence data revealed divergent patterns of genetic diversity and population structure among the seven sampled reef fish species. Haplotype (*h*) and nucleotide ( $\pi$ ) diversity was higher in four out of the seven species in the WIO than in the Red Sea for COI, and five out of the seven species for *cyt b* when populations within each region were pooled (Fig. 2, and see Appendix S1 in Supporting Information). This trend cannot be explained by a greater sampling effort in the WIO, given that species with comparable sample sizes for each region, such as *Cephalopholis argus* and *P. diacanthus*, still had lower genetic diversity in the Red Sea.

AMOVA supported the geographical grouping of sites into Red Sea and WIO regions (Table 2). Although there was some variability in genetic differentiation among sampling sites between regions (Appendix S2), six out of the seven species showed significant genetic structure for at least one of the molecular markers (Table 2).

Population pairwise tests were significantly different in 22 (for COI) or 16 (for *cyt b*) out of 47 comparisons (all  $P \leq 0.01$ ); all significant comparisons were between regions rather than between sites within regions, and ranged from 0.07 to 0.67 for  $\Phi_{ST}$  and 0.05 to 1.00 for Jost's *D* (Fig. 3, Appendix S2). Estimates of genetic differentiation across all species were correlated between molecular markers (non-parametric Spearman's rank correlation:  $\Phi_{ST}$ ,  $r = 0.77$ ,  $P < 0.001$ ,  $n$

279 = 47; Jost's  $D$ ,  $r = 0.57$ ,  $P < 0.001$ ,  $n = 47$ ), although the larger spread of Jost's  $D$   
 280 values than  $\Phi_{ST}$  values is probably related to the former not being constrained by  
 281 within-site heterozygosity. Pairwise genetic differentiation-based  $\Phi_{ST}$  and Jost's  
 282  $D$  were also significantly correlated across all datasets (non-parametric  
 283 Spearman's rank correlation coefficient: COI,  $r = 0.67$ ,  $P < 0.001$ ; cyt  $b$ ,  $r = 0.83$ ,  
 284  $P < 0.001$ ).

285 As expected from the  $\Phi_{ST}$  values, the median-joining networks show more  
 286 shared COI or cyt  $b$  haplotypes between collection sites within the Red Sea and  
 287 WIO than between these regions (Fig. 4). The only exception to this pattern was  
 288 the high proportion of haplotypes shared between Al Lith or Thuwal (Red Sea)  
 289 and Oman (WIO) for *Cephalopholis argus*. Even though our comparisons  
 290 between Oman and other sites should be viewed with caution, given that these are  
 291 based on data from only a single species (*C. argus*) with a low sample size ( $n = 8$   
 292 or 9), some endemic Red Sea fauna extend to the Omani coast (e.g. *Cirrhitus*  
 293 *spilotoceps*, M.R.G. & J.E. Randall, Bishop Museum, unpub. data).

294

## 295 **IMA2 analysis**

296 The estimated times since initial separation between the Red Sea and WIO  
 297 populations for the seven reef fish species ranged from approximately 21,000 to

830,000 years (Table 3). Recent separations of less than 100,000 years were apparent for *Chaetodon auriga* and *H. hortulanus*, older separations of 100,000 to 300,000 years were apparent for *A. nigrofuscus*, *Cephalopholis argus*, *L. kasmira*, and *N. sammara*, and finally *P. diacanthus* populations have been isolated for 660,000 to 830,000 years. Of the older separations, *L. kasmira* was characterized by high subsequent gene flow, whereas gene flow was restricted for *N. sammara* (and *P. diacanthus*); these two species also have the highest level of divergence between the Red Sea and WIO based on  $\Phi_{ST}$  (Table 2). Differences among species in both the timing of initial divergence and subsequent migration rates reveal considerable variation in the link between Red Sea and WIO populations.

The direction of migration varied among species. For example, a higher proportion of migrants moved from the WIO into the Red Sea for *H. hortulanus*, whereas *L. kasmira* moved predominantly out of the Red Sea (Table 3). For the remaining species, gene flow was low in both directions, or driven by differences in effective population size, indicating no bias in effective migration between regions.

## DISCUSSION

This study demonstrates barriers to gene flow between the Red Sea and WIO for some reef fish species, but an apparent lack of phylogeographical breaks for others, which may reflect the volatile geological history of the Red Sea region.



319           The Red Sea is a marginal water mass whose movement in the upper  
320 layers is driven by the summer and winter monsoons acting through a restricted  
321 connection with the adjacent Gulf of Aden (Siddall *et al.*, 2004; Biton *et al.*,  
322 2008). During each glacial maximum of the Pleistocene, the last characterized by  
323 a 120-m drop in sea level only 20,000 years ago, the inflow pattern and exchange  
324 of surface water was limited owing to the shallow sill at the Strait of Bab al  
325 Mandab, the only natural gateway into the Red Sea (Siddall *et al.*, 2003). As a  
326 result, throughout these periods of isolation, increased evaporation may have  
327 raised temperature and salinity levels higher than most reef fishes can tolerate  
328 (e.g. > 50‰; Biton *et al.*, 2008), resulted in periods of reduced planktonic (i.e.  
329 larval) development (Hemleben *et al.*, 1996), and caused mass extirpation within  
330 the Red Sea (Sheppard *et al.*, 1992; but see Klausewitz, 1989).

331           In addition to intermittent historical barriers created by Pleistocene  
332 glacial cycles, contemporary barriers exist. The lack of coral habitat along the  
333 2200 km coastline from Djibouti to southern Somalia may inhibit gene flow  
334 between the Red Sea and WIO by limiting opportunities for stepping stone  
335 dispersal (Kemp, 1998). Within the Red Sea, the extensive turbid-water region  
336 south of 20 °N may also inhibit larval dispersal or settlement (Ormond &  
337 Edwards, 1987; Roberts *et al.*, 1992). The long-term persistence and age of these  
338 contemporary barriers, however, is uncertain.

339           Most genetic work on reef fishes within the Red Sea has focused on the  
340   differentiation of fauna between the Gulf of Aqaba and northern Red Sea, with  
341   some notable exceptions. Froukh & Kochzius (2008) identified a damselfish in  
342   the Red Sea (*Chromis viridis*) as being distinct from conspecifics in Indonesia and  
343   the Philippines based on mtDNA sequences. Similar research on marine  
344   invertebrates (*Acanthaster planci*: Benzie *et al.*, 1999; *Scylla serrata*: Fratini &  
345   Vannini, 2002) support a genetic distinction of the Red Sea populations. In  
346   contrast, Kochzius & Blohm (2005) found no mtDNA differentiation between  
347   lionfish (*Pterois miles*) populations in the Red Sea and Indian Ocean.

348           Five of the seven species we examined were genetically differentiated  
349   between the Red Sea and WIO based on AMOVA and median-joining networks.  
350   *Halichoeres hortulanus* and *L. kasmira* had minimal or inconsistent genetic  
351   differentiation, as well as extensive mixing of haplotypes within and between  
352   regions. *Acanthurus nigrofuscus*, *Cephalopholis argus*, and *Chaetodon auriga*  
353   had modest differentiation between regions with pronounced separation of  
354   peripheral haplotypes, but shared a common haplotype among all sampling sites.  
355   *Neoniphon sammara* and *P. diacanthus* had fixed differences between regions.

356           Variability in genetic signatures can occur even among closely related  
357   species (Rocha *et al.*, 2002; Gaither *et al.*, 2010; DiBattista *et al.*, 2012b) and may  
358   be related to innate differences in life-history or ecological preferences, although  
359   these widely distributed species are all presumably capable of long distance

360 dispersal (e.g. Eble *et al.*, 2009, 2011; Gaither *et al.*, 2010, 2011) based on  
 361 available estimates of pelagic larval duration (range: 24.5–48 days; Thresher &  
 362 Brothers, 1985; Victor, 1986; Wilson & McCormick, 1999) and longitudinal  
 363 range size (range: 20,063–21,689 km; Randall, 1999, 2005). Indeed, our study  
 364 species cover a wide spectrum of dietary modes ranging from herbivory (*A.*  
 365 *nigrofuscus*) to specialist feeding on sessile or mobile invertebrates (*Chaetodon*  
 366 *auriga*, *H. hortulanus*, and *P. diacanthus*) to piscivory (*Cephalopholis argus*, *L.*  
 367 *kasmira*, and *N. sammara*). These species also display a variety of reproductive  
 368 behaviour ranging from dioecism (*L. kasmira*, *N. sammara*) with mate-pairing  
 369 (*Chaetodon auriga*) or spawning aggregations (*A. nigrofuscus*) to protogyny  
 370 (*Cephalopholis argus* and *H. hortulanus*). Given that there are no real unifying  
 371 life-history features for this diverse group, we suspect that differences in  
 372 ecological resilience to geological disturbance may have contributed to the range  
 373 of colonization histories, although this will require further testing.

374         Considering the prevailing currents in the Indian Ocean, it is not surprising  
 375 that sampling sites in the WIO were genetically similar to each other. The Chagos  
 376 and Seychelles archipelagos are located in the South Equatorial Current, which  
 377 flows from east to west. Both archipelagos are also heavily influenced by seasonal  
 378 or permanent countercurrents (South Equatorial Countercurrent and East African  
 379 Countercurrent, respectively; Fig. 1). The strong and variable water movement of  
 380 the region has resulted in Diego Garcia, which is located at the southern end of

the Chagos Archipelago, having faunal affinities with both the Indo-Polynesian and WIO provinces (Winterbottom & Anderson, 1997; Craig, 2008; Gaither *et al.*, 2011; Briggs & Bowen, 2012). The South African coastline is similarly well connected to the central Indian Ocean, being influenced by the warm Mozambique/Agulhas current (Lutjeharms, 2006), which facilitates unidirectional (north to south) transport of tropical fauna from other sites in the WIO.

There are several records of long distance dispersal of tropical reef fish (e.g. *Chaetodon zanzibarensis* and *Ecsenius lineatus*) to the Arabian coastline during periods of upwelling, which indicate that larval transport from the WIO to this region and subsequent settlement are not precluded (Kemp, 2000). Although we only sampled a few specimens ( $n = 9$ ) of a single species off the coast of Oman (*Cephalopholis argus*), these fish were not genetically distinct from conspecifics sampled at Diego Garcia (COI:  $\Phi_{ST} = 0.029$ ,  $P = 0.25$ ; cyt *b*:  $\Phi_{ST} < 0.001$ ,  $P = 0.50$ ) or the Seychelles (COI:  $\Phi_{ST} < 0.001$ ,  $P = 0.80$ ; cyt *b*:  $\Phi_{ST} = 0.039$ ,  $P = 0.20$ ).

### **Vicariance events and colonization history**

Our mtDNA data provide evidence for three separate periods of colonization or export of propagules between the Red Sea and WIO (Table 3). First, Red Sea populations of *Chaetodon auriga*, and *H. hortulanus* appear to derive from the WIO during or soon after the most recent glacial maximum (c.21,000 to 31,000

402 years ago; but see Karl *et al.*, 2012). Second, population separations in *A.*  
 403 *nigrofuscus*, *Cephalopholis argus*, and *L. kasmira* pre-date the Last Glacial  
 404 Maximum but include recurrent gene flow in most cases. Third, *N. sammara* and  
 405 *P. diacanthus* represent long-isolated evolutionary lineages in the Red Sea. These  
 406 final cases in particular indicate that some Red Sea residents survived the major  
 407 temperature and salinity crises recorded 19,000, 30,000 and 450,000 years ago  
 408 (Siddall *et al.*, 2003).

409 IMA2 analyses indicate bidirectional gene flow between the Red Sea and  
 410 WIO, which is also apparent in the older history inscribed in haplotype networks  
 411 (Fig. 4). Three cases provide especially strong inference: (1) in the COI and *cyt b*  
 412 network for *A. nigrofuscus*, the central (ancestral) haplotype is observed primarily  
 413 in the Indian Ocean, whereas the Red Sea haplotypes are peripheral; (2) in the  
 414 COI network for *N. sammara*, the central haplotype is detected only in the Red  
 415 Sea, with the Indian Ocean haplotypes peripheral; and (3) in the *cyt b* network for  
 416 *P. diacanthus*, the central haplotype is detected only in the Red Sea. Hence the  
 417 networks for these three species indicate colonization into and out of the Red Sea,  
 418 which supports the hypothesis that peripheral habitats can export biodiversity to  
 419 the central Indo-Pacific.

420

## 421 **Taxonomic considerations**

422 Our genetic study highlights three interesting cases where the current  
423 classification of existing species may not reflect their evolutionary history.  
424 *Chaetodon auriga* is one of the most widespread butterflyfishes on the planet,  
425 with a distribution of approximately 82.2 million km<sup>2</sup> across the tropical Indo-  
426 Pacific (Allen *et al.*, 1998). The original species description is from Red Sea  
427 specimens, which lack a dark spot on the margin of the soft dorsal fin, such that  
428 conspecifics outside the Red Sea were regarded as the subspecies *Chaetodon*  
429 *auriga setifer* (Bloch, 1795).

430         Although we did detect differences in mtDNA sequences between the Red  
431 Sea and WIO, these were only marginally significant. In addition, the most  
432 common haplotype was shared between the Red Sea and WIO at both COI and  
433 *cyt b*. Even though colour morphs do correspond to genetic partitions in some  
434 species (e.g. Craig & Randall, 2008; Drew *et al.*, 2008; Randall & Rocha, 2009),  
435 discordance between genetic divergence and coloration is well documented in reef  
436 fishes (Ramon *et al.*, 2003; Rocha, 2004; Messmer *et al.*, 2005), including  
437 butterflyfishes (family Chaetodontidae: McMillan & Palumbi, 1995) and their  
438 sister group angelfishes (family Pomacanthidae: Bowen *et al.*, 2006; DiBattista *et*  
439 *al.*, 2012a). For these reasons, we regard the Red Sea population as conspecific  
440 with all other populations of *Chaetodon auriga*, although the shallow but  
441 significant population genetic differentiation supports the sub-specific status.

Two species in our study reveal a strikingly different pattern: *N. sammara* and *P. diacanthus* were characterized by high  $\Phi_{ST}$  (or Jost's *D*) values relative to all other species and strong mtDNA differences between regions. For *P. diacanthus* in particular, Red Sea and WIO haplotypes are separated by at least three mutations at *cyt b* and one fixed mutation at COI, indicating isolation for several hundred thousand years. This genetic separation is matched by coloration differences between Red Sea and WIO populations (Allen *et al.*, 1998), indicating long-isolated populations that, unlike other species examined, have failed to reconnect during interglacial periods. Notably the species is absent from sites in the Arabian Sea, indicating geographic isolation (Kemp, 1998). While we know of no coloration or morphological differences in *N. sammara* that may indicate cryptic lineages, this possibility merits further investigation.

454

## 455 CONCLUSIONS

Comparative phylogeographical studies have done much to illuminate the evolutionary history of regional marine faunas (Avice, 1992; Lessios & Robertson, 2006; Kelly & Palumbi, 2010; Carpenter *et al.*, 2011; Toonen *et al.*, 2011; Ludt *et al.*, 2012). Here we provide the first multispecies comparison between Red Sea and Indian Ocean reef fishes, and find a spectrum of outcomes from recent gene flow to ancient evolutionary separations. Three broad conclusions are apparent. First, endemism and biodiversity are higher among Red

463 Sea reef fishes than previously suspected (i.e. *N. sammara* and *P. diacanthus*),  
464 and ongoing studies will be likely to elevate these estimates. Second, some  
465 elements of the Red Sea fauna survived the salinity crises caused by late  
466 Pleistocene glaciations. This does not require continuous residence in the Red  
467 Sea, as persistence in the Gulf of Aden just outside the Red Sea remains a  
468 possibility. It seems unlikely, however, that a genetically distinct and cohesive  
469 fauna could survive in the Gulf without extensive admixture with other Indian  
470 Ocean populations. We therefore favour the explanation that Red Sea refugia  
471 existed during low sea level stands associated with glaciations. Third, peripheral  
472 habitats such as marginal seas and isolated archipelagos are not necessarily  
473 ‘evolutionary graveyards’. Rather, our data indicate that the Red Sea is capable of  
474 exporting biodiversity to the broader Indo-Pacific, thus operating as a potential  
475 engine of evolutionary diversity in our oceans.

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499

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761    **SUPPORTING INFORMATION**

762    Additional Supporting Information may be found in the online version of this  
763    article:

764    **Appendix S1** Mitochondrial DNA haplotype (*h*) and nucleotide diversity ( $\pi$ ) for  
765    each species from each collection location.

766    **Appendix S2** Population pairwise  $\Phi_{ST}$  and Jost's *D* values for each species based  
767    on mitochondrial DNA sequences.

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772    should be addressed to the authors.

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## 777    **Biosketch**

778    The authors' interests are focused on illuminating the evolutionary processes that  
779    generate marine biodiversity. They have carried out phylogeographical surveys of  
780    over 20 reef fish species in the greater Indo-Pacific to test existing evolutionary  
781    models, resolve the life history traits that influence dispersal and population  
782    separations in reef organisms, and inform marine conservation (e.g. defining the  
783    boundaries of marine protected areas).

784    Author contributions: J.D.D. conceived the ideas for this study, collected tissue  
785    samples and produced DNA sequences, analysed the data, and led the writing. In  
786    addition to contributing to writing, M.L.B., B.W.B., J.H.C. and M.T.C. collected  
787    tissue samples, M.R.G. and L.A.R. collected tissue samples and produced DNA  
788    sequences, J.A.E. produced DNA sequences, and D.J.S. implemented and  
789    interpreted coalescent analyses.

790    Editor: Craig McClain

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**Table 1** Study species, number of specimens, fragment length, primers used, and annealing temperatures for mitochondrial DNA cytochrome-c oxidase subunit-I (COI) and cytochrome *b* (cyt *b*) genes. DNA sequences from each primary collection location (Al Lith, Thuwal, Diego Garcia, and the Republic of Seychelles; see text) are described, along with collections made opportunistically at additional locations in the Western Indian Ocean (WIO). All haplotypes are available online in GenBank (accession numbers: KC187734-KC188056).

Species	Molecular sequence data								
	Red Sea				WIO				
	DNA fragment	Fragment length (bp)	Al Lith	Thuwal	Diego Garcia	Seychelles	Other sites ( <i>n</i> )	Primer set	Annealing temp. (°C)
<i>Acanthurus nigrofuscus</i>	COI	634	22	27	31	31	–	Fish F2–Fish R2 (1)	50
(brown surgeonfish)	cyt <i>b</i>	683	22	28	31	30	–	Cyb9–Cyb7 (2,3)	58
<i>Cephalopholis argus</i>	COI	537	26	19	24	10	Oman (8)	Fish F2–Fish R2 (1)	56
(peacock hind)	cyt <i>b</i>	618	27	22	32	13	Oman (9)	CB6F–CB6R (4)	54
<i>Chaetodon auriga</i>	COI	625	27	20	33	30	–	Fish F2–Fish R2 (1)	52
(threadfin butterflyfish)	cyt <i>b</i>	670	27	20	33	30	–	Cyb9–Cyb7 (2,3)	56
<i>Halichoeres hortulanus</i>	COI	589	25	27	20	28	–	Fish F2–Fish R2 (1)	50
(checkerboard wrasse)	cyt <i>b</i>	692	25	27	27	22	–	Cyb9–Cyb7 (2,3)	50
<i>Lutjanus kasmira</i>	COI	606	21	22	33	20	Sodwana Bay (34)	Fish F2–Fish R2 (1)	56
(bluestripe snapper)	cyt <i>b</i>	475	23	23	34	19	Sodwana Bay (34)	H15020–Cyb5 (5,3)	48
<i>Neoniphon sammara</i>	COI	611	20	31	30	28	–	Fish F2–Fish R2 (1)	50
(Sammara squirrelfish)	cyt <i>b</i>	508	20	31	29	38	–	NSAFOR4–NSAREV4*	60
<i>Pygoplites diacanthus</i>	COI	634	24	23	33	–	–	Fish F2–Fish R2 (1)	50
(regal angelfish)	cyt <i>b</i>	640	24	23	32	–	–	PydCytbF3–PydCytbR4*	50

\*We designed two novel primer sets to amplify and sequence cyt *b* for *Neoniphon sammara* and *Pygoplites diacanthus*. Their sequences were as follows: NSAFOR4: 5'–TGC CGT GAC GTA AAC TAT GG–3'; NSAREV4: 5'–TGA AGT TGT CGG GAT CTC CT–3'; PydCytbF3: 5'–ATG GCA AAC TTA CGC AAA ACC–3'; PydCytbR4: 5'–GGC TGG TGT GAA GTT GTC–3'.

References: (1) Ward *et al.*, 2005; (2) Song *et al.*, 1998; (3) Taberlet *et al.*, 1992; (4) Gaither *et al.*, 2010; (5) Meyer, 1994.

**Table 2** Analysis of molecular variance (AMOVA; Excoffier *et al.*, 1992) comparing variation between the Red Sea and Western Indian Ocean populations of reef fish based on mitochondrial DNA cytochrome-c oxidase subunit-I (COI) and cytochrome *b* (cyt *b*) genes. Site-specific samples sizes are shown in Table 1.

Species	DNA fragment	Percentage variation			Overall $\Phi_{ST}$	<i>P</i>	Jost's <i>D</i> (SE)
		within populations	between populations	between regions			
<i>Acanthurus nigrofusus</i> (brown surgeonfish)	COI	76.58	0.25	23.18	0.23	< 0.001	0.59 (0.051)
	cyt <i>b</i>	87.96	−0.64	12.68	0.12	< 0.001	0.21 (0.087)
<i>Cephalopholis argus</i> (peacock hind)	COI	72.45	−0.31	27.86	0.28	< 0.001	0.13 (0.088)
	cyt <i>b</i>	77.59	1.02	21.39	0.22	< 0.001	0.13 (0.060)
<i>Chaetodon auriga</i> (threadfin butterflyfish)	COI	80.35	−1.80	21.45	0.20	< 0.001	0.087 (0.041)
	cyt <i>b</i>	98.48	−0.44	1.96	0.015	0.12	0.021 (0.022)
<i>Halichoeres hortulanus</i> (checkerboard wrasse)	COI	97.30	2.60	0.10	0.027	0.071	0.041 (0.019)
	cyt <i>b</i>	95.44	1.08	3.48	0.046	0.045	0.078 (0.088)
<i>Lutjanus kasmira</i> (bluestripe snapper)	COI	100.11	−1.30	1.20	0	0.83	0.006 (0.023)
	cyt <i>b</i>	98.88	−0.46	1.58	0.011	0.23	0.090 (0.059)
<i>Neoniphon sammara</i> (Sammara squirrelfish)	COI	66.03	−0.33	34.30	0.34	< 0.001	0.68 (0.038)
	cyt <i>b</i>	70.28	−0.69	30.41	0.30	< 0.001	0.59 (0.060)
<i>Pygoplites diacanthus</i> (regal angelfish)	COI	30.61	−1.17	70.57	0.69	< 0.001	0.61 (0.015)
	cyt <i>b</i>	65.00	−2.30	37.30	0.35	< 0.001	0.60 (0.027)

**Table 3** Estimates of time in years ( $t$ ) since initial separation, effective migration rate ( $2N_e m$ ), effective population sizes ( $N_e$ ), and mutation-scaled migrations rates ( $m$ ) between Red Sea (RS) and Western Indian Ocean (WIO) populations of seven reef fish species based on mitochondrial DNA cytochrome-c oxidase subunit-I (COI) and cytochrome  $b$  (cyt  $b$ ) runs in IMA2 (Hey & Nielsen, 2007). Abbreviations: NC, no convergence. Inequalities: posterior probability densities rise to a plateau, so that all estimates larger than the shown value have the same approximate posterior probability.

Species	DNA fragment	Initial separation in years ( $t$ )	Effective migration rate ( $2N_e m$ )		Effective population size ( $N_e$ )		Migration ( $m$ )	
			WIO to RS	RS to WIO	WIO	RS	WIO to RS	RS to WIO
<i>Acanthurus nigrofuscus</i> (brown surgeonfish)	COI	105,000	1.21	0.09	25.10	50.70	0.03	0.01
	cyt $b$	79,000	3.92	0.11	52.75	85.25	0.02	0.001
<i>Cephalopholis argus</i> (peacock bind)	COI	> 121,000	NC	0.73	0.25	NC	21.05	1.45
	cyt $b$	> 212,000	0.01	NC	NC	0.60	0.01	6.27
<i>Chaetodon auriga</i> (threadfin butterflyfish)	COI	26,800	0.08	0.13	6.60	3.80	0.01	0.01
	cyt $b$	30,700	0.03	4.85	34.65	1.65	0.01	0.07
<i>Halichoeres hortulanus</i> (checkerboard wrasse)	COI	26,500	1491.11	0.08	7.50	115.50	6.46	0.01
	cyt $b$	21,600	> 199.50	> 163.50	> 272.50	> 262.50	1.22	0.02
<i>Lutjanus kasmira</i> (bluestripe snapper)	COI	41,000	0.23	1282.22	104.50	7.50	0.02	6.14
	cyt $b$	155,000	15.01	104.61	88.10	3.10	2.82	0.50
<i>Neoniphon sammara</i> (Sammara squirrelfish)	COI	169,000	0.79	0.03	14.85	20.75	0.02	0.001
	cyt $b$	190,000	0.09	0.24	47.48	17.77	0.0025	0.0025
<i>Pygoplites diacanthus</i> (regal angelfish)	COI	831,000	0.01	0.04	4.20	1.40	0.01	0.01
	cyt $b$	> 662,000	0.06	0.04	8.88	11.63	0.0025	0.0025

## 1    **TITLES AND LEGENDS TO FIGURES**

2    **Figure 1** Scaled maps indicating collection sites for all seven reef fish species (a,  
3    *Acanthurus nigrofuscus*; b, *Cephalopholis argus*; c, *Chaetodon auriga*; d,  
4    *Halichoeres hortulanus*; e, *Lutjanus kasmira*; f, *Neoniphon sammara*; g,  
5    *Pygoplites diacanthus*) sampled in the Red Sea and the Western Indian Ocean.  
6    Light orange lines show the major current systems flowing through each body of  
7    water (abbreviations: EACC, East African Countercurrent; NEC, Northwest  
8    Monsoon Current; SC, Somali Current; SEC, South Equatorial Current; SECC,  
9    South Equatorial Countercurrent; SMC, Southwest Monsoon Current; WICC,  
10    Western Indian Coastal Current). Note the reversing circulation of the SC (from  
11    northward to southward), the SMC (from westward to the eastward NEC), the  
12    WICC (from eastward to westward), and the current flowing into the Red Sea  
13    from the Gulf of Aden (compared with out of the Red Sea and into the Gulf of  
14    Aden) during the Northeast Monsoon season (December to March). Site-specific  
15    samples sizes are provided in Table 1. (Photo credits: M.L. Berumen, S. Moldzio  
16    and L.A. Rocha)

17

18    **Figure 2** The relationship between (a) haplotype diversity ( $h$ ) or (b) nucleotide  
19    diversity ( $\pi$ ) estimated for mitochondrial DNA cytochrome-c oxidase subunit-I  
20    (COI; black filled circles) and cytochrome  $b$  (cyt  $b$ ; open circles) genes in the Red

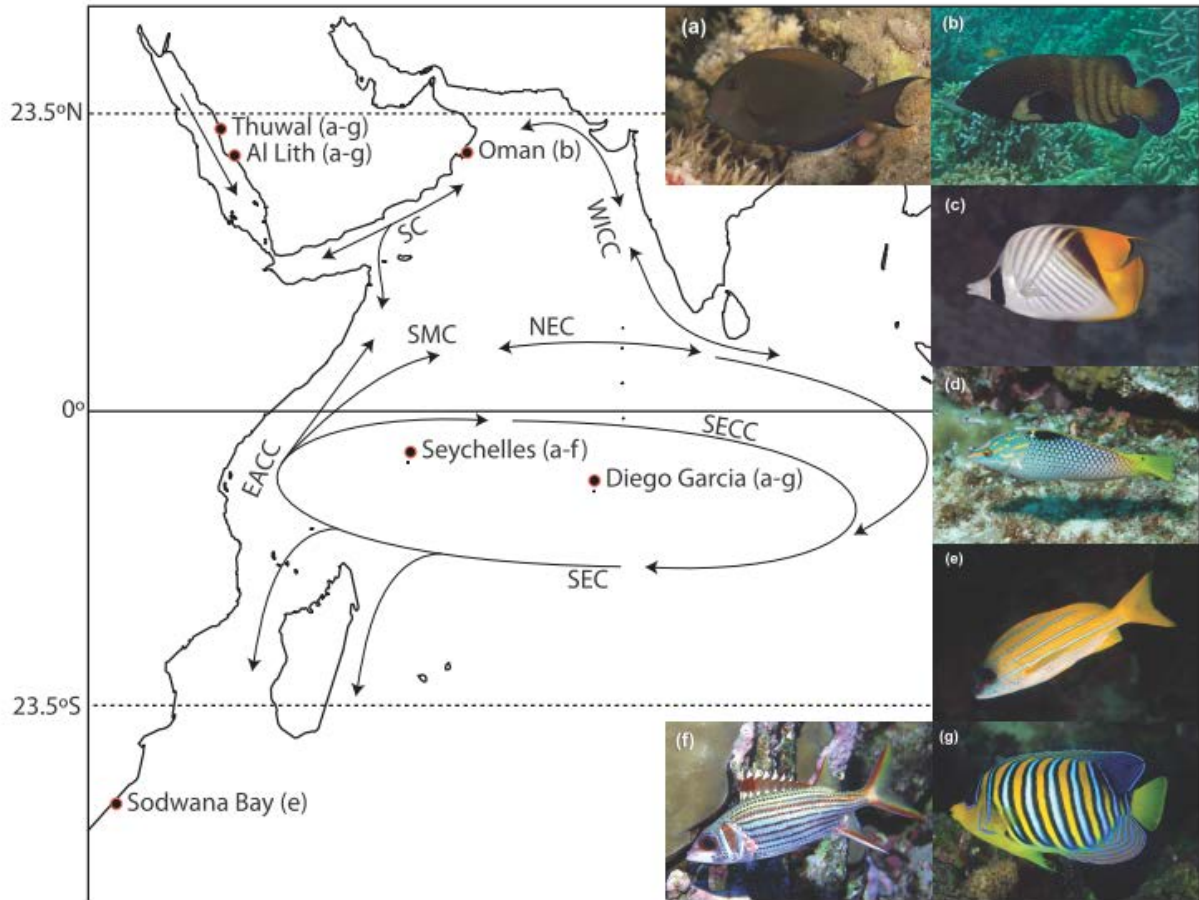
21 Sea versus the Western Indian Ocean (WIO) populations of species considered in  
 22 this study. The black dashed line represents a line of unity, which is the point at  
 23 which genetic diversity estimates in the Red Sea and WIO are equal within a  
 24 species. Data points above the line of unity indicate greater genetic diversity in  
 25 the Red Sea, whereas points falling below the line indicate greater genetic  
 26 diversity in the WIO.

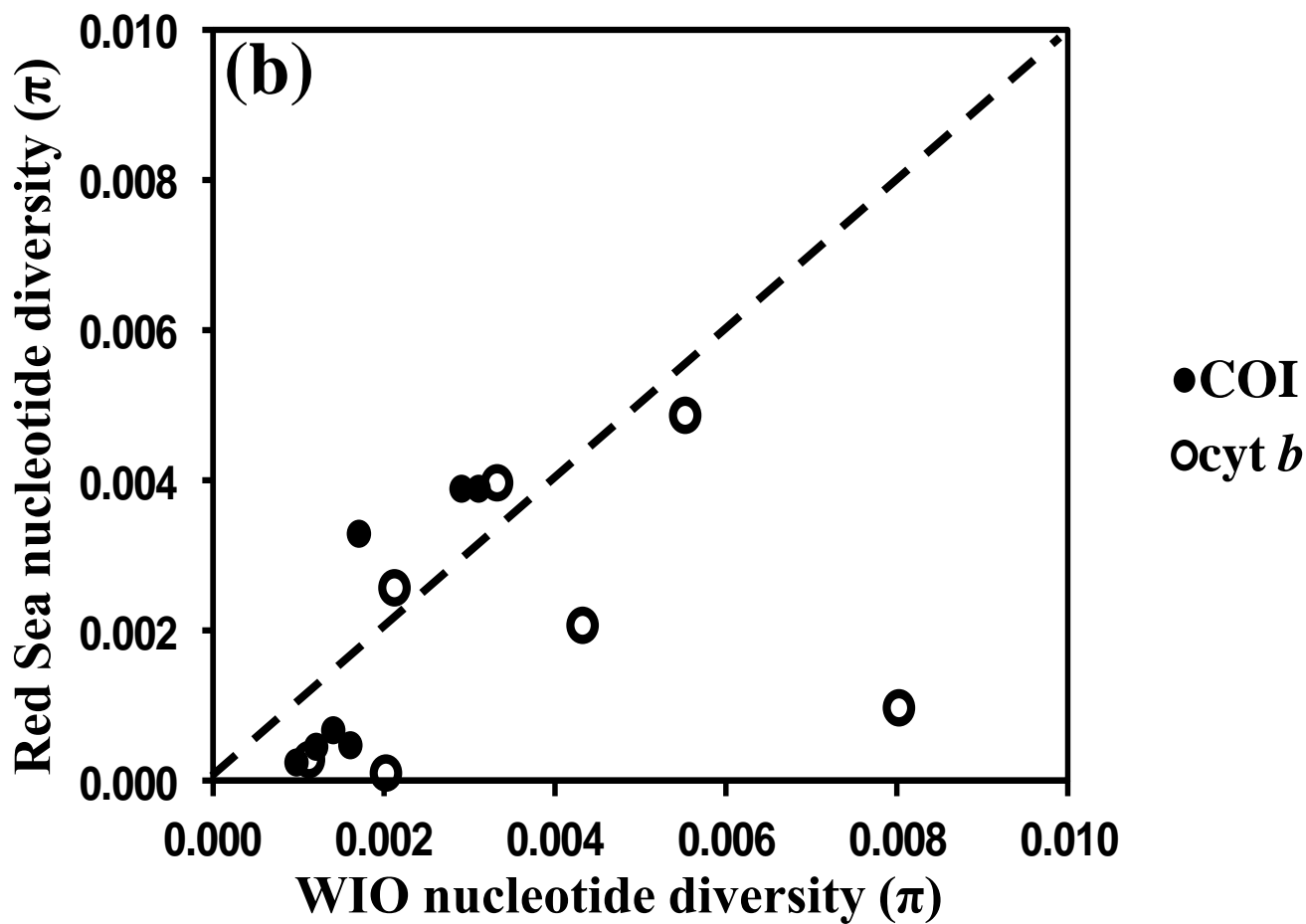
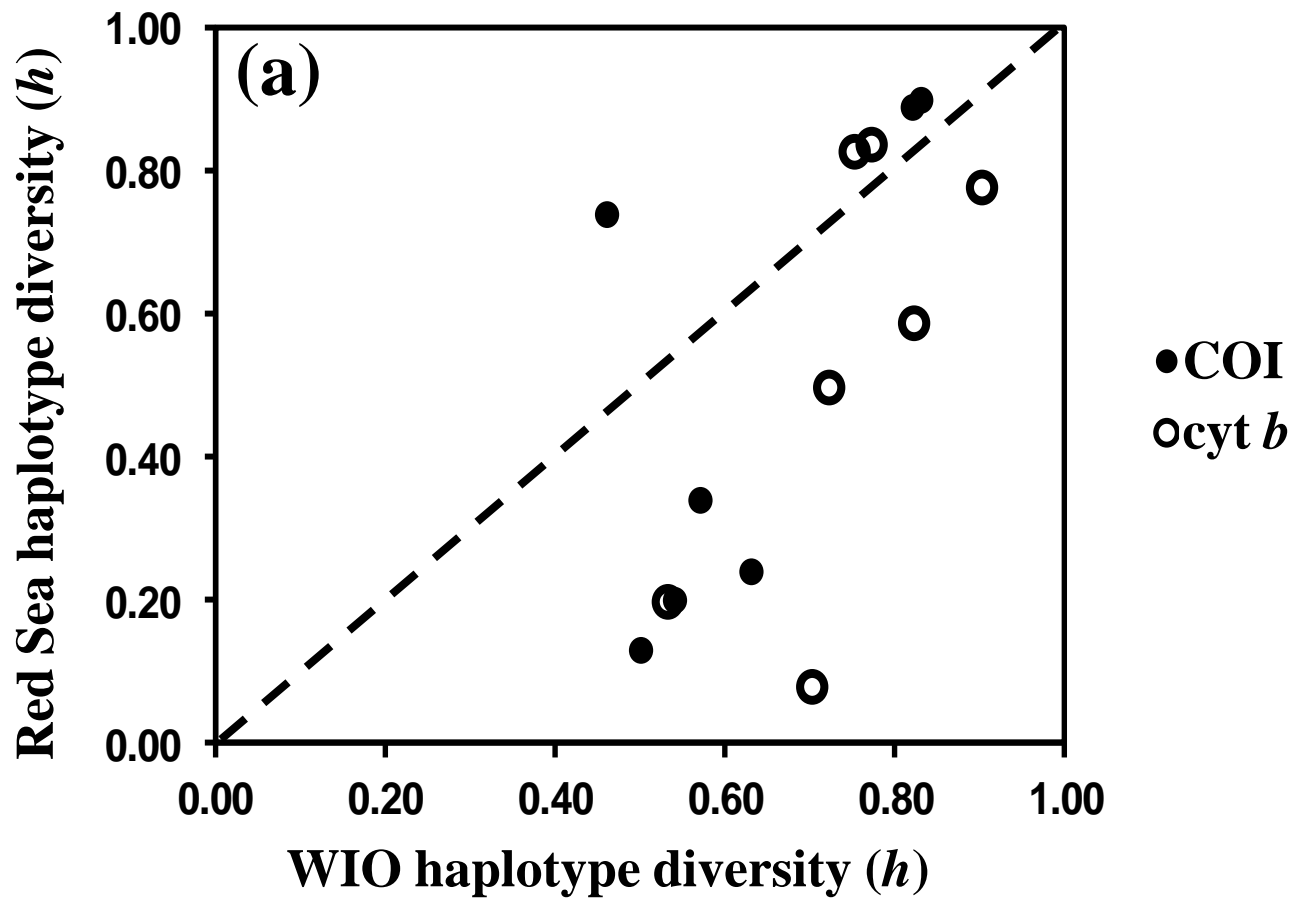
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28 **Figure 3** The relationship between mitochondrial DNA cytochrome c oxidase  
 29 subunit I (COI) and cytochrome *b* (cyt *b*) estimates of pairwise genetic  
 30 differentiation for the seven species of reef fish, based on comparisons among  
 31 Red Sea (RS, represented by circles), Western Indian Ocean (WIO; represented  
 32 by triangles), and Red Sea versus WIO sites (represented by squares). Estimates  
 33 of both  $\Phi_{ST}$  (black symbols) and Jost's *D* (grey symbols) are presented here. The  
 34 black dashed line represents a line of unity, which is the point at which pairwise  
 35 genetic differentiation estimates between two study sites are equal for each  
 36 molecular marker. Data points below the line of unity indicate greater genetic  
 37 differentiation based on COI, whereas points falling above the line indicate  
 38 greater genetic differentiation based on cyt *b*.

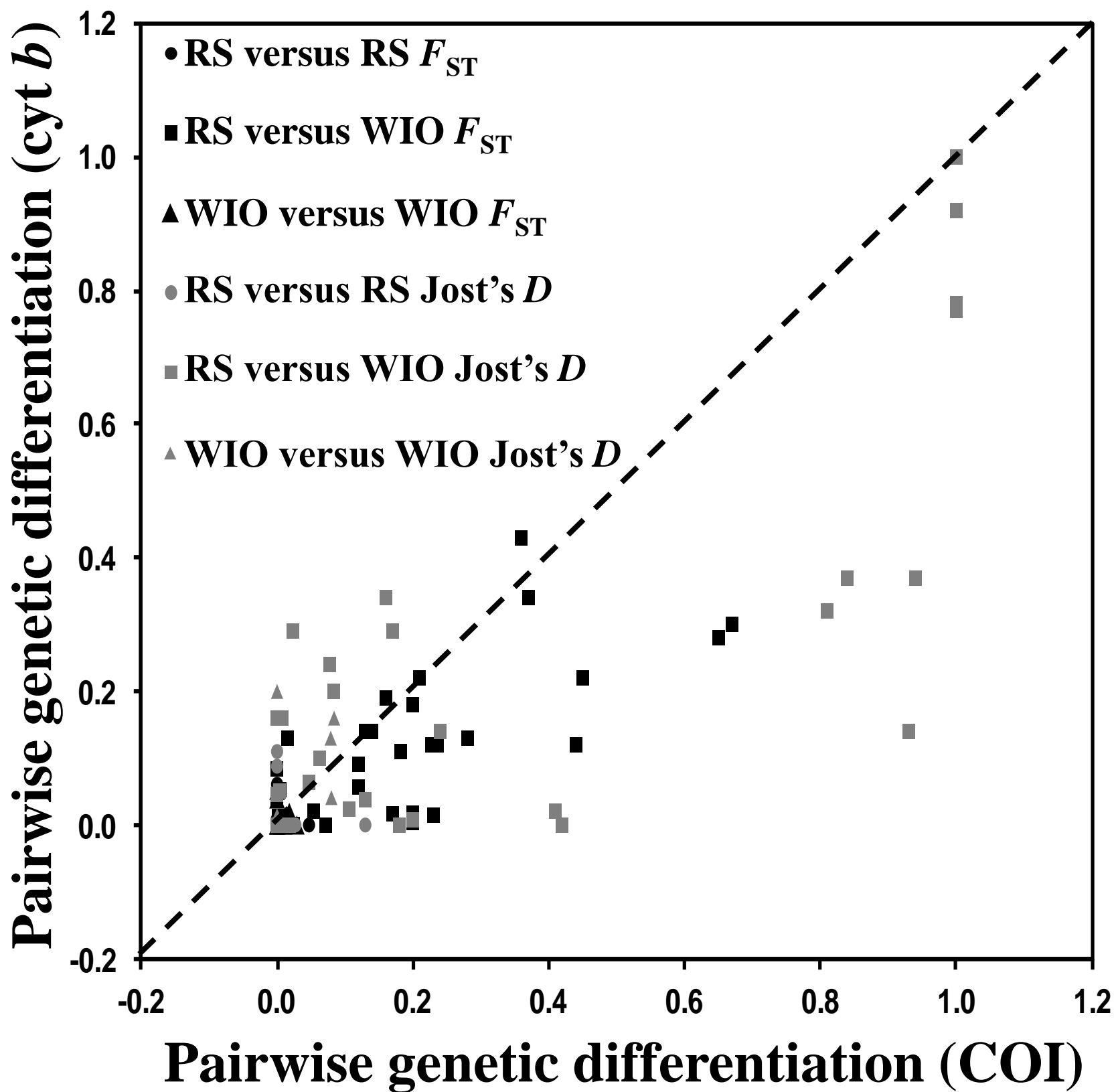
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40 **Figure 4** Median-joining networks showing relationships among mitochondrial  
41 DNA (a–g) cytochrome-c oxidase subunit-I (COI) and (h–n) cytochrome *b* (cyt *b*)  
42 haplotypes for each study species (a/h, *Acanthurus nigrofuscus*; b/i,  
43 *Cephalopholis argus*; c/j, *Chaetodon auriga*; d/k, *Halichoeres hortulanus*; e/l,  
44 *Lutjanus kasmira*; f/m, *Neoniphon sammara*; g/n, *Pygoplites diacanthus*)  
45 collected in the Red Sea (Al Lith and Thuwal) and the Western Indian Ocean  
46 (Diego Garcia, Oman, Seychelles, and Sodwana Bay). Each circle represents a  
47 unique haplotype and its size is proportional to its total frequency. Branches or  
48 black cross-bars represent a single nucleotide change, small black circles  
49 represent missing haplotypes, and colours denote collection location as indicated  
50 by the embedded key.





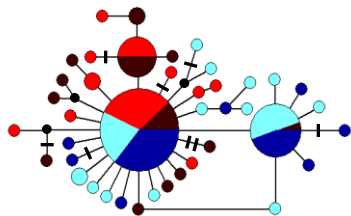
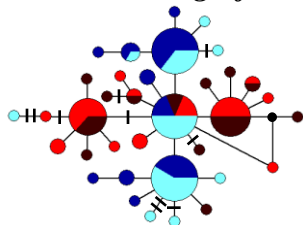




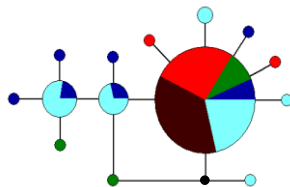
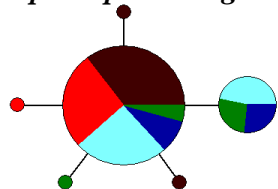
COI

cyt b

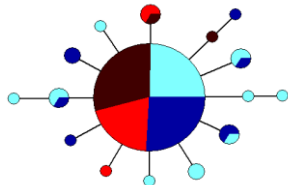
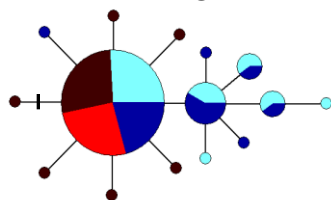
*Acanthurus nigrofuscus*



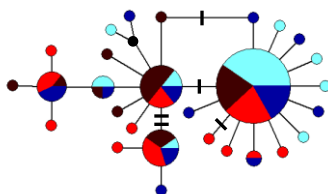
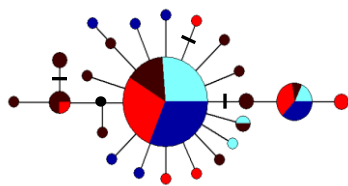
*Cephalopholis argus*



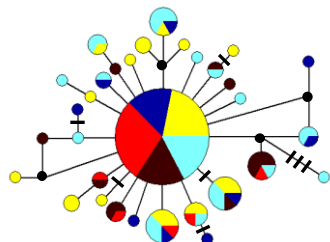
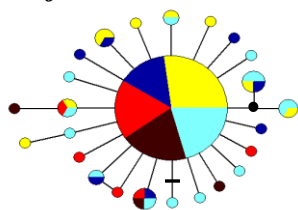
*Chaetodon auriga*



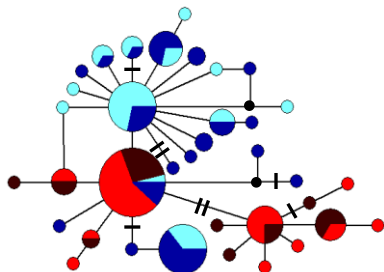
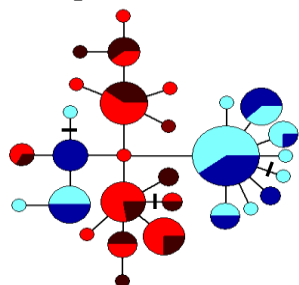
*Halichoeres hortulanus*



*Lutjanus kasmira*



*Neoniphon sammara*



*Pygoplites diacanthus*

